

10 Rec'd PCT/PTO 2 1 JULY 2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE JC10 Rec'd PCT

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER JP 020028

ILS APPLICATION NO. (If known, see 37 CFR 1.5)

| CONCE   | RNING A SUBMISSIC   | ON UNDER 35 U.S.C. 371                          | 107540314  |  |  |  |
|---|---|---|--|--|--|--|
| INTERNATION<br>PCT/IB2003/  | AL APPLICATION NO.<br>005831  | INTERNATIONAL FILING DATE December 1, 2003      | PRIORITY DATE CLAIMED December 26, 2002            |  |  |  |
| TITLE OF INVENTION  |   |   |  |  |  |  |
| DATA RECORDING/REPRODUCING DEVICE, AND DATA PROCESSING METHOD AND PROGRAM  APPLICANT(S) FOR DO/EO/US                            |   |   |  |  |  |  |
| Yoshimi ENOMOTO   |   |   |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |   |  |  |  |  |
| I —   |   | oncerning a submission under 35 U.S.C. 371      |  |  |  |  |
| 2. L This is  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |
| 3. This is (5), (   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |
| 4. The U  | IS has been elected (Article 31).   |   |  |  |  |  |
| 5. 🗹 A 🛭  | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |  |  |  |  |
| a.  | a. is attached hereto (required only if not communicated by the International Bureau).  |   |  |  |  |  |
| b.  | b.  has been communicated by the International Bureau.  |   |  |  |  |  |
| C.  | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |   |  |  |  |  |
| 6. 🔲 An   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |  |  |  |  |
| a.  | is attached hereto.   |   |  |  |  |  |
| b.  | has been previously submit  | tted under 35 U.S.C. 154(d)(4).                 |  |  |  |  |
| 7. 🗹 Ame  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |   |  |  |  |  |
| a.  | are attached hereto (require  | red only if not communicated by the Internat    | ional Bureau).                                     |  |  |  |
| b.  | have been communicated  | by the International Bureau.                    |  |  |  |  |
| C.  | c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |  |  |  |  |
| - <sup></sup> , d.  | have not been made and v  | will not be made.                               |  |  |  |  |
| 8. 🗌 An   | English language translation of th  | e amendments to the claims under PCT Art        | icle 19 (35 U.S.C. 371(c)(3)).                     |  |  |  |
| 9. 🗹 An o   | oath or declaration of the inventor   | (s) (35 U.S.C. 371(c)(4)).                      |  |  |  |  |
| 10. An E  | English language translation of the de 36 (35 U.S.C. 371(c)(5)).  | e annexes of the International Preliminary E    | xamination Report under PCT                        |  |  |  |
| Items 11 to   | 20 below concern document(s   | ) or information included:                      |  |  |  |  |
| 11. 🔲 🛮 An I  | nformation Disclosure Statement   | under 37 CFR 1.97 and 1.98.                     |  |  |  |  |
| 12. 🚺 🛮 An a  | ssignment document for recording  | ng. A separate cover sheet in compliance wit    | h 37 CFR 3.28 and 3.31 is included.                |  |  |  |
| 13. A pr  | eliminary amendment.  |   |  |  |  |  |
| 14. An /  | Application Data Sheet under 37 (   | CFR 1.76.                                       |  |  |  |  |
| 15. A su  | bstitute specification.   |   |  |  |  |  |
| 16. 🔲 A po  | ower of attorney and/or change of   | address letter.                                 |  |  |  |  |
| 17. A 🔾   | mputer-readable form of the sequ  | uence listing in accordance with PCT Rule 1     | 3ter.2 and 37 CFR 1.821- 1.825.                    |  |  |  |
| 18. A se  | cond copy of the published Interr   | national Application under 35 U.S.C. 154(d)(    | 4).  |  |  |  |
|   |   | ge translation of the international application |  |  |  |  |
|   |   |   | harge Authorization; Receipt Confirmation Postcard |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC09 Rec'd PCT/PTO 21 JUN 2009

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/IB2003/005831 JP 020028 fees have been submitted **CALCULATIONS** PTO USE ONLY 21. 🔽 300.00 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200.00 All other situations.... 23 1/1 Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 \$ 400.00 All other situations.......\$500 \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = \$ x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). CLAIMS NUMBER FILED **NUMBER EXTRA** RATE \$ Total claims - 20 = ጸ x \$50 \$ 0.00 Independent claims 6 x \$200 3 S 600.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 **TOTAL OF ABOVE CALCULATIONS =** \$ 600.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ 1,500.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 1,500.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 1,540.00 Amount to be refunded: Amount to be \$ 1,540.00 charged: A check in the amount of \$ \_ \_\_ to cover the above fees is enclosed. Please charge my Deposit Account No. 14-1270 in the amount of \$ 1,540.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 ... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Corporate Patent Counsel Edward W. Goodman Philips Electronics North America Corporation

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Briarcliff Manor, NY 10510

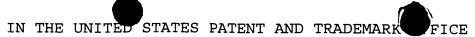
NAME

REGISTRATION NUMBER

28,613

10/540314

## JC09 Rec'd PCT/PTO 21 JUN 2005



In re Application of

Atty. Docket

YOSHIMI ENOMOTO

JP 020028

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

DATA RECORDING/REPRODUCING DEVICE, AND DATA PROCESSING METHOD AND PROGRAM

|                        | CERTIFICATE OF EXPRESS MAILING  |     |
|------------------------|---|-----|
| [X] Express Mail Maili | ng Label No.  |     |
|                        | EV664852585-US  |     |
| Date of Deposit:       | 6-21-05   |     |
| Service "Express Mail  | is paper and/or fee is being deposited with the United States Posta<br>Post Office to Addressee" service under 37 C.F.R. 1.10 on the da<br>addressed to the Commissioner for Patents, PO Box, 1450, Alexa | ite |
| Jeanne Rusciano        | Jeanne Lusciano   |     |
| Typed Name             | Signature   |     |